AO 10
Rev. 1/2019

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2018

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
KAGAN, ELENA	SUPREME COURT OF THE UNITED STATES	05/09/2019

4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	5a. Report Type (check appropriate type)	6. Reporting Period
ASSOCIATE JUSTICE OF THE SUPREME COURT OF THE UNITED STATES	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2018 to 12/31/2018

7. Chambers or Office Address

1 FIRST STREET NE
WASHINGTON, D.C. 20543

***IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	VISITING PROFESSOR (AUGUST 2018)	HARVARD LAW SCHOOL
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
KAGAN, ELENA	05/09/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2018	PRESIDENT AND FELLOWS OF HARVARD COLLEGE - TEACHING	$17,500.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	HARVARD LAW SCHOOL	AUGUST 26-31, 2018	CAMBRIDGE, MA	TEACHING, SPEECH	TRANSPORTATION, HOTEL, MEALS
2.	YALE LAW SCHOOL	SEPTEMBER 12-15, 2018	NEW HAVEN, CT	CONFERENCE	TRANSPORTATION, HOTEL, MEALS
3.	HUNTER COLLEGE OF THE CITY UNIVERSITY OF NEW YORK	SEPTEMBER 21-22, 2018	NEW YORK, NY	SPEECH	TRANSPORTATION, MEALS
4.	COLUMBIA LAW SCHOOL	SEPTEMBER 21-22, 2018	NEW YORK, NY	SPEECH	HOTEL, MEALS

Name of Person Reporting	Date of Report
KAGAN, ELENA	05/09/2019

5.	UNIVERSITY OF CALIFORNIA LOS ANGELES SCHOOL OF LAW	SEPTEMBER 25-28, 2018	LOS ANGELES, CA	SPEECH	TRANSPORTATION, HOTEL, MEALS
6.	PRINCETON UNIVERSITY	OCTOBER 5, 2018	PRINCETON, NJ	SPEECH	TRANSPORTATION
7.	UNIVERSITY OF TORONTO	NOVEMBER 11-12, 2018	TORONTO, ONTARIO	SPEECH	TRANSPORTATION, HOTEL, MEALS

Name of Person Reporting	Date of Report
KAGAN, ELENA	05/09/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
KAGAN, ELENA	05/09/2019

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. JUSTICE FEDERAL CREDIT UNION ACCOUNT	A	Interest	M	T					
2. FRANKLIN TEMPLETON MUTUAL BEACON FUND	D	Dividend	L	T					
3. VANGUARD PRIME MONEY MKT FUND	A	Dividend	K	T					
4. VANGUARD TOTAL STOCK MKT INDEX ADM	D	Dividend	N	T	Sold (part)	01/16/18	K		
5. VANGUARD TOTAL INTL STOCK INDEX ADMIRAL	B	Dividend	K	T	Buy	01/16/18	K		
6. UNIV. OF CHICAGO RET - TIAA TRADITIONAL GUARANTEED FIXED ANNUITY	C	Interest	L	T					
7. UNIV. OF CHICAGO RET - CREF STOCK QCSTIX		None	L	T					
8. UNIV. OF CHICAGO RET - CREF BOND MARKET VIABILITY ANNUITY QCBMIX		None	K	T					
9. UNIV. OF CHICAGO RET - VANGUARD INT-TERM BOND	A	Dividend			Sold	04/02/18	K		
10. UNIV. OF CHICAGO RET - TIAA - VANGUARD TOTAL BOND MKT FUND INST PLUS	A	Dividend	K	T	Buy	04/02/18	K		
11. UNIV. OF CHICAGO RET - VANGUARD WINDSOR FUND ADMIRAL	A	Dividend			Sold	04/02/18	L		
12. UNIV. OF CHICAGO RET - TIAA - VANGUARD INST INDEX FUND INST PLUS	B	Dividend	L	T	Buy	04/02/18	L		
13. IRA #1 - FIDELITY MAGELLAN FUND	E	Dividend	M	T					
14. IRA #1 - FIDELITY PURITAN FUND	E	Dividend	M	T					
15. IRA #1 - FIDELITY INTERMED BOND FUND	B	Dividend	L	T					
16. IRA #2 - VANGUARD TOTAL BOND MKT INDEX	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
KAGAN, ELENA	05/09/2019

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
17. IRA #2 - VANGUARD FED MONEY MKT FUND	A	Dividend	J	T					
18. IRA #2 - VANGUARD WELLESLEY INC FUND	C	Dividend	L	T					
19. IRA #2 - VANGUARD 500 INDEX FUND	B	Dividend	L	T					
20. IRA #2 - VANGUARD INTL EXPLORER FUND	B	Dividend	K	T					
21. DREYFUS US MORTGAGE FUND - CLASS Z	A	Dividend	J	T					
22. VANGUARD - GNMA FUND INVESTOR SHARES	A	Dividend	J	T					
23. CHARLES SCHWAB MONEY MARKET FUND ACCOUNT	A	Dividend	K	T	Distributed (part)	07/18/18	J		
24. SCHWAB S&P 500 INDEX FUND	D	Dividend	M	T					
25. WASHINGTON, DC - RENTAL PROPERTY	B	Rent	K	W					
26. CITIBANK ACCOUNT	A	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII. INVESTMENTS:

LINE 9: PLAN SPONSOR REQUESTED TRANSFER OF FUNDS. THIS ASSET IS NOW UNIV. OF CHICAGO RET - TIAA – VANGUARD TOTAL BOND MKT FUND INST PLUS, LISTED ON LINE 10.

LINE 11: PLAN SPONSOR REQUESTED TRANSFER OF FUNDS. THIS ASSET IS NOW UNIV. OF CHICAGO RET - TIAA – VANGUARD INST INDEX FUND INST PLUS, LISTED ON LINE 12.

Name of Person Reporting	Date of Report
KAGAN, ELENA	05/09/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ ELENA KAGAN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544